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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Lynn Y. Liu, Xiaomei Guan, Michael E. Hayden, and David Ling  
SERIAL NO.: 09/467,551  
FILING DATE: December 10, 1999  
TITLE: Internet Settlement System  
EXAMINER: Nga B. Nguyen  
GROUP ART UNIT: 3628  
ATTY. DKT. NO.: 20578-08080

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GROUP 3600

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Dated: April 23, 2004

By: Jae Won Song

Jae Won Song, 37 C.F.R. §10.9(b)

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**AMENDMENT C AND RESPONSE UNDER 37 C.F.R. §1.111**

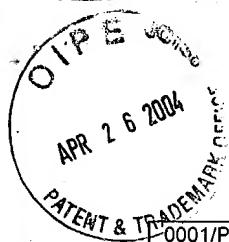
Sir:

This amendment for the patent application identified above is in response to the Office Action dated December 24, 2003, which set a shortened statutory period for response that expired on March 24, 2004. Applicants hereby petition for a 1-month extension under 37 C.F.R. 1.136(a). The \$110 fee under 37 C.F.R. §1.17(a) for a one-month extension is enclosed herewith.

04/27/2004 AAD0F01 00000035 09467551  
03 FC:1251

110.00 OP

Kindly amend this application as indicated herein.



+

0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office		Application Number <b>09/467,551</b>
		Filing Date <b>December 10, 1999</b>	
		First Named Inventor <b>Lynn Y. Liu</b>	
		Group Art Unit Number <b>3628</b>	
		Examiner Name <b>Nga B. Nguyen</b>	
Total Number of Pages in This Submission <b>19</b>	Attorney Docket Number <b>20578-08080</b>		

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<b>ENCLOSURES (check all that apply)</b>		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt  <input type="checkbox"/> Request for Correction of Recorded Assignment <input checked="" type="checkbox"/> Amendment C / Response: [ 15 ] Page <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney		<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): <input type="checkbox"/> [ ] Sheet(s) of Figure(s) [ ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Copy of document entitled "Limited Recognition Under 37 CFR §10.9(b)" <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
REMARKS:		

GROUP 3600

<b>SIGNATURE OF ATTORNEY OR AGENT</b>			
Signature:			
Attorney/Reg. No.:	Jae Won Song, 37 C.F.R. §10.9(b)	Dated:	April 23, 2004

<b>CERTIFICATE OF MAILING</b>			
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Signature:			
Typed or Printed Name:	Jae Won Song	Dated:	April 23, 2004
Express Mail Mailing Number (optional):			



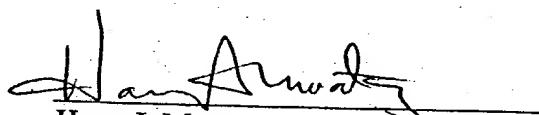
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Jae Won Song is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fenwick & West, LLP, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fenwick & West, LLP, and a registered practitioner, who is a member of the law firm of Fenwick & West, LLP, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Jae Won Song ceases to lawfully reside in the United States, (ii) Jae Won Song's employment with the law firm of Fenwick & West, LLP, ceases or is terminated, or (iii) Jae Won Song ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: June 3, 2004



Harry I. Moatz  
Director of Enrollment and Discipline

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APR 26 2004  
PATENT & TRADEMARK OFFICE

# FEET TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **\$ 530.00**

**Complete if Known**

Application Number	09/467,551
Filing Date	December 10, 1999
First Named Inventor	Lynn Y. Liu
Examiner Name	Nga B. Nguyen

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## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number

19-2555

Deposit Account Name

Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>				<b>(\$)</b>	

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	31	-22** =	9	18.00 = 162.00
Independent Claims	7	-4** =	3	86.00 = 258.00
Multiple Dependent				

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)			
1202	18	2202	9		Claims in excess of 20	
1201	86	2201	43		Independent claims in excess of 3	
1203	290	2203	145		Multiple dependent claim, if not paid	
1204	86	2204	43		**Reissue independent claims over original patent	
1205	18	2205	9		**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>				<b>(\$ 420.00)</b>		

\*\*or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1051	130	2051 65 Surcharge - late filing fee or oath	
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053 130 Non-English specification	
1812	2,520	1812 2,520 For filing a request for ex parte reexamination	
1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	
1251	110	2251 55 Extension for reply within first month	110.00
1252	420	2252 210 Extension for reply within second month	
1253	950	2253 475 Extension for reply within third month	
1254	1,480	2254 740 Extension for reply within fourth month	
1255	2,010	2255 1,005 Extension for reply within fifth month	
1401	330	2401 165 Notice of Appeal	
1402	330	2402 165 Filing a brief in support of an appeal	
1403	290	2403 145 Request for oral hearing	
1451	1,510	1451 1,510 Petition to institute a public use proceeding	
1452	110	2452 55 Petition to revive - unavoidable	
1453	1,330	2453 665 Petition to revive - unintentional	
1501	1,330	2501 665 Utility issue fee (or reissue)	
1502	480	2502 240 Design issue fee	
1503	640	2503 320 Plant issue fee	
1460	130	1460 130 Petitions to the Commissioner	
1807	50	1807 50 Processing fee under 37 CFR 1.17(g)	
1806	180	1806 180 Submission of Information Disclosure Stmt	
8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
1809	770	2809 385 Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810 385 For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801 385 Request for Continued Examination (RCE)	
1802	900	1802 900 Request for expedited examination of a design application	
Other fee (specify) _____			

**SUBTOTAL (3)** **\$ 110.00**

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type)	Jae Won Song	Registration No. (Attorney/Agent)	37 C.F.R. §10.9(b)	Complete (if applicable)
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Signature		Date	Telephone: (650) 335-7164
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April 23, 2004